Springer’s menu of Open Access flavors

Wim van der Stelt, VP publishing strategy
National Open Access Workshop, Urla, Turkey
Leading publisher in science, technology, medicine

2,300  

250,000  

8,000
Around 60 publishing houses in some 20 countries worldwide
A bit of context: open access in the UK

• Wellcome Trust has a long existing policy on open access
  
  And wants more compliance

• A major focus of the UK government is on innovation
  
  More access is supposed to grow the innovation

• The department of BIS commissions Dame Janet Finch to formulate recommendations on enhancing access in Fall 2011

• The Finch committee publishes these recommendations in July 2012
The Finch committee

• Installed by BIS

• First time that all stakeholders seek common ground:
  • Universities
  • Funders
  • Libraries
  • Publishers
  • Learned Societies
  • Government (as an observer)

Assignment:

How to expand access to published research findings in the UK
The remit of the Finch committee was to focus on:

- How to make the peer reviewed, published outcomes of research accessible
  - To more people
  - Immediately
  - Free
  - With widest possible re-use rights

Whilst avoiding pitfalls like damaging the quality of peer review, damaging the publishing industry, the quality of UK research and the role of Learned Societies
The Finch recommendations: preference for gold open access

• Mixed economy for the foreseeable future
• Policy direction should be towards gold (APC driven) access
• Too short embargoes could harm the existing system unnecessarily
• Also underlying data should be easily accessible

• Since gold open access is just for the UK produced articles (6% of global output) further licensing opportunities need to be considered

• If the recommendations are taken into account in the new Wellcome Trust and UKSG policies, the PA will recommend the member publishers to make their content available on a walk-in basis in all public libraries
The RCUK open access „decision tree“

1. Research publicly funded?
   - Yes
   - No

2. Gold OA option available from your publisher?
   - Yes
   - No

3. Are APC funds available from research funder?
   - Yes
   - No

   - Immediate Gold OA
   - Green OA after 6 months (AHRC/ESRC after 12 months)

   - No
   - Green OA after 12-24 months
And how is that funded?

- GBP10m for university transaction infrastructure
- Funding made available in university block grants
- For the top 30 UK research intensive universities
- Funding will grow over the next 4 years (from GBP17m in 2013 to about GBP37m in 2018)
- Ambition is to fund 75% of output to be gold open access in 2018

- Appr. 26,000 articles result from RCUK funded research, total needed budget for APC with average of GBP1700 excluding VAT is about GBP44million

- Goal is to reach 75% APC funded gold in 2018
- Making available 17m in year 1, 20m in year 2 etc.
The two routes to Open Access (OA)

**Green Open Access**
- Deposit of author’s accepted manuscript version in a repository.
- Individual self-archiving policies by publisher/journal.
- Embargo periods may apply.

**Gold Open Access**
- Fully OA journals and Hybrid OA option for subscription-based journals.
- OA content is freely available for anyone at anytime in exchange of a publication charge.
- Gold OA removes permission barriers (most copyright and license restrictions).
# Open Access flavors at Springer

<table>
<thead>
<tr>
<th>Authors’ Rights</th>
<th>Hybrid Open Access</th>
<th>Fully Open Access</th>
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Self-archiving policy for journal authors

• Deposit of accepted manuscript version
• Author’s own website: no embargo/immediately
• Any repository: 12 months embargo
**Springer is a green publisher**

Search - Publisher copyright policies & self-archiving

One publisher found when searched for: Publisher: **Springer Verlag**

<table>
<thead>
<tr>
<th>Publisher</th>
<th>Springer Verlag (Germany), Germany</th>
</tr>
</thead>
<tbody>
<tr>
<td>Journals</td>
<td>(~2179 journals) - involving 784 other organisations</td>
</tr>
<tr>
<td>RoMEO</td>
<td>This is a RoMEO green publisher</td>
</tr>
<tr>
<td>Copyright</td>
<td>example copyright transfer statement - NIH Policy - Wellcome Trust Policy - Research Councils UK Policy - Self-archiving policy - Authors Rights</td>
</tr>
<tr>
<td>Updated</td>
<td>22-Mar-2013</td>
</tr>
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</table>

These are the publisher's default policies. Individual journals may have special permissions, especially if they involve other organisations or have paid for open access.

<table>
<thead>
<tr>
<th>Author's Pre-print</th>
<th>author can archive pre-print (ie pre-refereeing)</th>
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<tbody>
<tr>
<td>Author's Post-print</td>
<td>author can archive post-print (ie final draft post-refereeing)</td>
</tr>
<tr>
<td>Publisher's Version/PDF</td>
<td>author cannot archive publisher's version/PDF</td>
</tr>
</tbody>
</table>

General Conditions:
- Authors own final version only can be archived
- Publishers version/PDF cannot be used
- On author's website immediately
- On any open access repository immediately
- Published source must be acknowledged
- Must link to publisher version
- Set phrase to accompany link to publisher version
- Articles in some journals can be embargoed

**RoMEO Colour**

<table>
<thead>
<tr>
<th>Colour</th>
<th>Archiving policy</th>
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</thead>
<tbody>
<tr>
<td>Green</td>
<td>Can archive pre-print and post-print or publisher's version/PDF</td>
</tr>
<tr>
<td>Blue</td>
<td>Can archive post-print (ie final draft post-refereeing) or publisher's version/PDF</td>
</tr>
<tr>
<td>Yellow</td>
<td>Can archive pre-print (ie pre-refereeing)</td>
</tr>
<tr>
<td>White</td>
<td>Archiving not formally supported</td>
</tr>
</tbody>
</table>

Mandated OA: Compliance data is available for 51 funds
Paid Open Access: [Open Choice](#)
# Open Access flavors at Springer

## Authors’ Rights

- Self-archiving of author’s accepted manuscript version
- Upload service for NIH funded authors

## Hybrid Open Access

## Fully Open Access
Default OA copyright and license terms

• Copyright remains with the author(s)

• Articles are published under the Creative Commons Attribution (CC BY) license:
  • Removes copyright and permission barriers  
  • Facilitates distribution, free reuse and sharing for commercial and non-commercial purposes as long as the author and source is attributed
  • Enables self-archiving of the final published version (no embargo restrictions)
Open access at Springer truly is open access

Increased afterload induces pathological cardiac hypertrophy: a new in vitro model

Marc N. Hirt, Nils A. Sörensen, Lena M. Bartholdt, Jasper Boeddinghaus, Sebastian Schaaf, Alexandra Eder, Ingra Vollert, Andrea Stöhr, Thomas Schulze, Anika Witten, ... show all 13

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Increased afterload induces pathological cardiac hypertrophy: a new in vitro model

Marc N. Hirt · Nils A. Sörensen · Lena M. Bartholdt · Jasper Roedinghaus · Sebastian Schaaf · Alexandra Eder · Ingra Vollert · Andrea Stöhr · Thomas Schulze · Anika Witten · Monika Stoll · Arne Hansen · Thomas Eschenhagen

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Conflict of interest None.

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References

Springer pionered the hybrid journal

Springer Open Choice

Your research. Your choice. Learn more about Springer’s established subscription-based journals offering the open access option.
Price adjustments for selected hybrid journals every year

Heidelberg / Dordrecht / New York / London, 18 June 2010

Springer Open Choice uptake affects 2011 journal pricing

Springer has analyzed the uptake of its open access option Open Choice for articles published in 2009 and is pleased to share its impact on 2011 pricing.

Springer Open Choice allows authors to publish their article with open access in exchange for payment of a fee, for the majority of its journals. The articles are then immediately freely available and the copyright remains with the author, and articles are published under the Creative Commons Attribution Non-Commercial License. These articles can be self-archived with immediate access.
Open Access flavors at Springer

Authors’ Rights

• Self-archiving of author’s accepted manuscript version
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Hybrid Open Access

• Open Access option in majority of Springer titles
• Open Choice for individual authors: Article fee € 2200/ US$ 3000

Fully Open Access


OA publishing at Springer – our expertise

- More than 10 years of experience with publishing open access journals
- Over 370 fully open access journals
The financial side – SpringerOpen/BioMed Central journals

• Article publishing charges (APCs)
  • SpringerOpen: 500 – 1,500 EUR
  • BioMed Central: appr. 1,370 EUR
  • Paid by the author (via research grant, library, institutional OA fund, ...)
  • Paid through Open Access Membership

• Waivers (economic hardship; Invitation waivers for EICs)
  • Open Access Africa: free Open Access Memberships for low income countries

• Journal sponsorship
Over 464 members in 52 countries!

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<th>Country</th>
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<td>Australia</td>
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<td>Burkina Faso</td>
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<td>United Kingdom</td>
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<tr>
<td>United States of America</td>
<td>159</td>
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</table>

http://www.springeropen.com/inst
SpringerOpen journals open for submission (Q4/2010 – Q2/2013)
Manuscript submissions SpringerOpen total (2011 - Oct 2013)
Manuscript submissions SpringerOpen from Turkey (2011 - Oct 2013)
Manuscript submissions BioMed Central total (2000 - 2012)
Manuscript submissions BioMed Central from Turkey (2009 - 2013)

No. submissions

year
Open Access articles published by authors based in Turkey

![Bar Chart]

Source: Authormapper
*Date refers to article cover date (issue publication)
## SpringerOpen journals with 2012 Impact Factors

<table>
<thead>
<tr>
<th>Journal title</th>
<th>Impact Factor</th>
<th>Subject fields</th>
</tr>
</thead>
<tbody>
<tr>
<td>The Journal of Headache and Pain</td>
<td>2.779</td>
<td>Medicine</td>
</tr>
<tr>
<td>Nanoscale Research Letters</td>
<td>2.524</td>
<td>Materials</td>
</tr>
<tr>
<td>Gold Bulletin</td>
<td>2.434</td>
<td>Materials</td>
</tr>
<tr>
<td>Rice</td>
<td>2.381</td>
<td>Life Sciences</td>
</tr>
<tr>
<td>Biointerphases</td>
<td>1.905</td>
<td>Materials</td>
</tr>
<tr>
<td>Fixed Point Theory and Applications</td>
<td>1.866</td>
<td>Mathematics</td>
</tr>
<tr>
<td>Chinese Science Bulletin</td>
<td>1.319</td>
<td>Natural Sc./general</td>
</tr>
<tr>
<td>Zoological Studies</td>
<td>1.261</td>
<td>Life Sciences</td>
</tr>
<tr>
<td>Boundary Value Problems</td>
<td>0.922</td>
<td>Mathematics</td>
</tr>
<tr>
<td>Botanical Studies</td>
<td>0.864</td>
<td>Life Sciences</td>
</tr>
<tr>
<td>Journal of Inequalities and Applications</td>
<td>0.822</td>
<td>Mathematics</td>
</tr>
<tr>
<td>EURASIP Journal on Advances in Signal Processing</td>
<td>0.807</td>
<td>Engineering</td>
</tr>
<tr>
<td>Advances in Difference Equations</td>
<td>0.760</td>
<td>Mathematics</td>
</tr>
<tr>
<td>EURASIP Journal on Audio, Speech and Music Processing</td>
<td>0.630</td>
<td>Engineering</td>
</tr>
<tr>
<td>EURASIP Journal on Image and Video Processing</td>
<td>0.570</td>
<td>Engineering</td>
</tr>
<tr>
<td>EURASIP Journal on Wireless Communications and Networking</td>
<td>0.540</td>
<td>Engineering</td>
</tr>
<tr>
<td>SERIEs</td>
<td>0.326</td>
<td>Economics</td>
</tr>
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</table>
146 BMC journals have a 2012 Impact Factor

- **Genome Biology**: 10.3
- **Particle and Fibre Toxicology**: 9.18
- **BMC Medicine**: 6.68
- **BMC Biology**: 6.53
- **Breast Cancer Research**: 5.87
- **Retrovirology**: 5.66
- **Biotechnology for Biofuels**: 5.55
- **Molecular Cancer**: 5.13
- **Cell Communication and Signaling**: 5.09
- **Critical Care**: 4.72
- **Journal of Hematology & Oncology**: 4.46
- **Journal of Cardiovascular Magnetic**: 4.44
- **BMC Genomics**: 4.4
- **Alzheimer's Research & Therapy**: 4.39
- **BMC Plant Biology**: 4.35
Key facts:

- Open Access
- Inclusive Scope
- Easy Submission
- Rigorous Peer Review
- Clear and fast editorial process
- APC: 850 €
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### Authors’ Rights

- Self-archiving of author’s accepted manuscript version
- Upload service for NIH funded authors

### Hybrid Open Access

- Open Access option in majority of Springer titles
- Open Choice for individual authors: Article fee € 2200/ US$ 3000

### Fully Open Access

- Creative Commons Attribution (CC BY) license for journal articles
- Creative Commons Attribution Non-Commercial (CC BY-NC) license for books
Other new initiatives

- Data publishing
- Publishing databases
- ALM
- Text- and Datamining
- Scientists workflow (Papers)
- Enhanced pdf (Utopia)
- MOOCS and OER
- More
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Back-up
## OA Membership models

<table>
<thead>
<tr>
<th>Prepay Membership</th>
<th>Shared Support Membership</th>
<th>Supporter Membership</th>
</tr>
</thead>
<tbody>
<tr>
<td>• University covers the article cost (APC) for their researchers by deposit</td>
<td>• APC shared equally between institution and researcher</td>
<td>• 15% discount on APC’s – researchers pay less</td>
</tr>
<tr>
<td>• No membership fee</td>
<td>• Additional discount on APC’s for researchers</td>
<td>• Annual membership fee for institution</td>
</tr>
<tr>
<td>• Researchers do not pay anything</td>
<td>• No membership fee</td>
<td>• Organisation does not cover the APC for the researchers</td>
</tr>
<tr>
<td>• Discount on the APC for institution</td>
<td>• Deposit available without time limits</td>
<td></td>
</tr>
<tr>
<td>• Deposit available without time limits</td>
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- Applied Informatics
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